



Training Program

- Overview
 - Training is extremely important and is one of the four pillars of an AML compliance program
 - This is a multi-faceted training program that targets a wide variety of disciplines, skill sets and experience levels, as well as different levels of management and non-management personnel
 - Training sessions offered are far reaching and geared to a broad spectrum of public and private sector audiences
 - Training sessions are practical and operationally focused
 - Flexibility
 - Training classes/modules range from 30 minutes to a week or more in duration
 - Training material is continuously updated to remain current
 - Training can be customized to fit a client's specific requirements
 - Training sessions can be delivered in a variety of forms, either in person or via the internet

- Topics include:
 - Threat Financing
 - Terrorist Financing
 - Money Laundering
 - Trade Based Money Laundering
 - Regional Money Laundering Risks
 - Risk Assessment/Recognition
 - Know Your Customer
 - BSA Reporting Requirements
 - Suspicious Activity Reporting
 - Financial Intelligence
 - Red Flags
 - Risk Awareness
 - Accounting Statement Fraud
 - Fraud/Financial Crimes
 - Check Fraud
 - Loan Fraud
 - Mortgage Fraud

- Corporate Fraud
 - Investment Fraud
 - Ponzi Schemes
 - Insider Trading
 - Identity Theft/Fraud
 - Credit Card Fraud
 - Pre-Paid Card Fraud and Money Laundering
 - Internet and Cyber Frauds
 - Facilitation Tools Used in Furtherance of Fraud
 - Learn to Think Like a Fraudster
 - Other Frauds
- Health Care Fraud
- Corruption
- Foreign Corrupt Practices Act (FCPA)
- Intellectual Property Theft
- Drug Trafficking
- Human Trafficking/Smuggling
- Asset Recovery
- Risk from Electronic Payment Mechanisms
- Conducting Investigations
 - Financial Investigations
 - Interviewing
 - Collecting Financial Records/Evidence
 - Intuition
- Incident Management/Response
 - For Financial Institutions
 - For Non-Financial Institutions
 - Government
 - Compliance Professionals
 - Security/Investigators
 - Organizational
 - Responders
- Training for Senior Executives and/or Board of Directors
 - Anti-Money Laundering (AML) – Annual Training
- Corporate Culture/Ethics
- Board Training
- Practical Problems/War Gaming
- Case studies
- Other



- Library of training sessions include:
 - 2010 NGIC Threat Finance: AML, Terrorist Financing and Financial Crimes: The Private Sector's Approach
 - A Tale of Two Banks: A Case Study
 - Adopting the Latest Best Practices to Protect Your Organization from Fraud
 - Al Capone: A Case Study
 - Alternate Value Transfer System
 - Analyzing Real-Life Case Studies: Would You Bank this Individual or Company?
 - AML in the Mortgage Industry
 - AML & SAR Program Components
 - AML/Terrorist Financing Strategies
 - Anti-Money Laundering: Current Risk Issues
 - Anti-Money Laundering and Economic Crimes
 - Anti-Money Laundering Essentials
 - Assessing the Convergence Between Terrorist Groups and Transnational Criminal Organizations
 - BSA Reporting: A Tool Against Terrorist Financing
 - Bank Secrecy Act Requirements
 - Beneficial Ownership
 - Board Training
 - Breaking Through the Functional Silos to Drive Secure and Profitable Growth
 - Bringing Mortgage Fraud Under Control
 - Can You Think Like a Fraudster?
 - Case Studies in Financial Crimes and Money Laundering
 - Case Study: A Tale of Two Banks
 - Case Study: Lebanese Canadian Bank
 - Case Study: Millones Drug Trafficking Organization
 - Case Study: Mumbai Bombing
 - Case Studies in Money Laundering and Terrorist Financing
 - Case Study: Rothstein Ponzi Scheme
 - Case Study: Shawqi Omar
 - Case Study: The Allure of Fraud
 - Combating Terrorist Financing Through Charitable Organizations
 - Combating Your Institution's Biggest Threat: Trade Based Money Laundering
 - Components of a Successful SAR
 - Conducting Effective AML Investigations: Law Enforcement Methodologies and Private Sector Techniques
 - Conducting Financial Investigations
 - Conducting a Money Laundering Investigation
 - Conducting Effective AML Investigations: Workshop
 - Convergence of Terrorist Groups and Transnational Organized Crime



- Corporate Culture: The Driving Force Behind Regulatory Actions Against Financial Institutions
- Corporate Fraud and Ponzi Schemes
- Counter Threat Finance Overview: Policy Approaches and Case Studies
- Current and Emerging Trends
- Current Issues of Terrorist Financing
- Current Threat Environment
- Cyber Fraud
- Deconstructing Complex Financial Structures to Identify Beneficial Ownership
- Detecting and Monitoring for Financial Crimes: Workshop on Fraud and AML Red Flags
- Deterring the Threat of Terrorism by Disrupting the Funding Flow
- Due Diligence
- Emerging Issues Within Terrorist Financing
- Emerging Trends in Trade Based Money Laundering
- Exploring and Interdiction and Enforcement Aspects of International Payments
- Exploitation of Charities by Terrorists
- Financial Intelligence
- Forming a Financial Intelligence Unit
- Fraud Intensive: Identifying Red Flags and Suspicious Activity
- Funding Mechanisms for Terrorism: A U.S. Perspective
- Gathering Financial Transaction Information
- Getting Ahead of Illegal Online Activity
- Global Financial Crisis
- Global Terrorism Today: Understanding and Disrupting Terrorist Financing
- Hizballah Case Studies
- Human Smuggling/Trafficking
- Hypothetical Case Study
- Identifying and Disrupting Regional Risks to Thwart Terrorist Financing and Organized Criminal Operations
- Illegal Money Remittance Businesses
- Incident Management for Financial Institutions: A “Concept of Operations” for Your Financial Institution’s Emergency Management
- Intellectual Property Theft
- Investigations 101: Who, What, When, Where, How and Why
- Investigating NGOs
- Khalid Ouazzani: A Case Study
- Law Enforcement’s Role Against Global Terrorism
- Lebanese Canadian Bank: A Comprehensive Case Study
- Managing the Terrorist Financing Risk of Banking Charities
- Money Laundering
- Money Laundering and Illicit Financial Flows: How Money Laundering and Corruption Impact the World Economy



- Money Laundering and Terrorist Financing
- Money Laundering and Terrorist Financing: What are the Criminals up to Now
- Money Laundering, Terrorist Financing and Related Financial Crimes Training for Law Enforcement
- Money Laundering Trends and Developments
- Mortgage Fraud
- Mortgage Fraud: Lenders Beware
- Mumbai Terrorist Attacks
- Occupational Fraud and Abuse
- Operation Smokescreen: A Hizballah Case Study
- Point Counterpoint: The Fight Against Terrorist Financing: Necessary Bulwark or Unnecessary Burden
- Pre-Paid Cards Used for Criminal Activity and Money Laundering
- Ponzi Schemes
- Ponzi Scheme Hypothetical Case Study
- Ponzi Schemes and Corporate Fraud
- Public Private Partnerships
- Relevance of Terrorist Financing to Compliance Officers
- Red Flags and Investigative Instincts
- Red Flags: Identifying Abuse of NGOs and Charities
- Risk Assessment
- Saga of a Compliance Officer
- SAR Analysis: How Law Enforcement Utilizes the Crucial Information You Report
- SARs Now and Then: How FinCEN and Law Enforcement Analysis and Investigative use of SARs has Evolved in 2014
- SAR Process
- SARs and Investigative Process
- SAR Reporting and Anti-Fraud measures
- SAR Workshop
- Shell Companies and Beneficial Ownership
- Sigue: A Case Study
- Suspicious Activity Reports
- Suspicious Activity Reporting: Going Beyond the Form
- Terrorist Attack Cycle
- Terrorist Financing
- Terrorist Financing and AML Risks
- Terrorist Financing and Bankers' Responsibilities
- Terrorist Financing and The USA Patriot Act
- Terrorist Financing: Current and Emerging Trends
- Terrorist Financing and Narco-Cash: Tracking the Money
- Terrorist Financing: Operations View
- Terrorist Financing in 2013 and Beyond



- Terrorist Financing in 2014 and Beyond
- Terrorism Update
- The Challenge of Beneficial Ownership
- The Importance of BSA/AML Reporting Requirements in Fighting Terrorist Financing, Fraud and Money Laundering
- The Investigation of Lloyds TSB Bank, PLC
- The Misuse of Afghan Trade to Launder Narcotics Proceeds
- The Role of Financial Investigations and Interagency Information Sharing in Countering the Financing of Terrorism
- The Saga of a Compliance Officer
- The Threat of Fraud and Money Laundering to Money Services Businesses
- Think Like a Fraudster: How to Launder Proceeds from Fraudulent Activity
- Threats Posed by Money Laundering, Fraud and Terrorist Financing
- Threats Posed by Money Laundering and Terrorist Financing
- To Identify a Fraud, Learn to Think Like the Fraudster
- To SAR or Not to SAR: Process and Consequences
- Trade Based Money Laundering
- Understanding AML and Related Challenges in a Changing Regulatory Environment
- Understanding and Disrupting Terrorist Financing
- Understanding the Ongoing Impact of Mortgage Fraud on Financial Institutions
- Understanding Regional Risks
- Washington, D.C. Property Tax Scandal: A Case Study
- Weathering the Perfect Storm: Operational Risk Management – A Proactive Approach

